IAP6 Rec'd PCT/PTO 25 AU

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER MC1-8324

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

| CONCERNING A SUBMISS   | ION UNDER 35 U.S.C. 3/1   | 10/590742                                       |  |  |  |  |
|--|---|---|--|--|--|--|
| NTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED — 27 February 2004 (27.02.2004) |   |   |  |  |  |  |
| TITLE OF INVENTION DATA STORAGE AND PROCESSING SYSTEMS   |   |   |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US DOBSON, Robert William Albert; REED, Keith and GREENING, Christopher               |   |   |  |  |  |  |
| Applicant herewith submits to the United   | States Designated/Elected Office (DO/EC   | VUS) the following items and other information: |  |  |  |  |
| 1. This is a FIRST submission of items   | 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |
| 2. This is a SECOND or SUBSEQUENT  | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |
|  | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |  |  |  |  |
| 4. The US has been elected (Article 31   | ).  |   |  |  |  |  |
| 5. X A copy of the International Applica   | tion as filed (35 U.S.C. 371(c)(2))   |   |  |  |  |  |
| a. X is attached hereto (requi   | red only if not communicated by the Internation   | nal Bureau).                                    |  |  |  |  |
| b. has been communicated   | by the International Bureau.  |   |  |  |  |  |
| c. is not required, as the ap  | plication was filed in the United States Receiv   | ing Office (RO/US).                             |  |  |  |  |
| 6. X An English language translation of  | the International Application as filed (35 U.S.C  | C. 371(c)(2)).                                  |  |  |  |  |
| a. X is attached hereto.   |   |   |  |  |  |  |
| b. has been previously sub   | mitted under 35 U.S.C. 154(d)(4).   |   |  |  |  |  |
| 7. Amendments to the claims of the I   | nternational Application under PCT Article 19   | (35 U.S.C. 371(c)(3))                           |  |  |  |  |
| a. are attached hereto (re   | quired only if not communicated by the Interna  | tional Bureau).                                 |  |  |  |  |
| b. have been communicat  | ted by the International Bureau.  |   |  |  |  |  |
| c. have not been made; h   | owever, the time limit for making such amendr   | nents has NOT expired.                          |  |  |  |  |
| d. have not been made ar   | nd will not be made.  | ·   |  |  |  |  |
| 8. An English language translation o   | of the amendments to the claims under PCT Ar  | ticle 19 (35 U.S.C. 371(c)(3)).                 |  |  |  |  |
| 9. An oath or declaration of the inver   | ntor(s) (35 U.S.C. 371(c)(4)).  |   |  |  |  |  |
| 10. An English language translation of Article 36 (35 U.S.C. 371(c)(5)).                                     | f the annexes of the International Preliminary E  | Examination Report under PCT                    |  |  |  |  |
| Items 11 to 20 below concern documer   | nt(s) or information included:  |   |  |  |  |  |
| 11. An Information Disclosure Statement  | ent under 37 CFR 1.97 and 1.98.   |   |  |  |  |  |
|  | rding. A separate cover sheet in compliance w   | ith 37 CFR 3.28 and 3.31 is included.           |  |  |  |  |
| 13. X A preliminary amendment.   | A preliminary amendment.  |   |  |  |  |  |
| 14. An Application Data Sheet under  | An Application Data Sheet under 37 CFR 1.76.  |   |  |  |  |  |
| 15. A substitute specification.  | A substitute specification.   |   |  |  |  |  |
| 16. A power of attorney and/or change  | A power of attorney and/or change of address letter.  |   |  |  |  |  |
| 17. A computer-readable form of the s  | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.   |   |  |  |  |  |
| 18. A second copy of the published In  | ternational Application under 35 U.S.C. 154(d)  | )(4).   |  |  |  |  |
| 19. A second copy of the English lang  | juage translation of the international applicatio   | n under 35 U.S.C. 154(d)(4).                    |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Page 1 of 3 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IAP5 Rec'd PCT/PTO 25 AUG 2006 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| U.S. APPLICATIO   |                                      | 59074  | PCT/GB2004   |   | MC1-83                 |              |
|---|--------------------------------------|--|--|---|------------------------|--------------|
| 20. Other ites  | ms or information                    |  |  |   |                        |              |
| <b>-</b>  |                                      |  |  |   |                        |              |
| في  |                                      |  |  |   |                        |              |
| The follow  | ing fees have be                     | een submitted  |  |   | CALCULATIONS           | PTO USE ONLY |
| 21. X Basic n   | ational fee (37                      | CFR 1.492(a))  |  | \$300                                   | \$ 300.00              |              |
|   | ation fee (37 CF                     |  |  |   | •                      |              |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 All other situations        |                                      |  |  | \$ 200.00                               |                        |              |
| 23. X Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) |                                      |  | \$ 400.00  |   |                        |              |
| TC  | TAL OF 21, 22                        | 2 and 23 =   |  |   | 900.00                 |              |
| Additional fee for sequence li electronic n   | or specification sting in complianed | and drawings file<br>nce with 37 CFR<br>R 1.492(j)). | ed in paper over 100 sheets (e. 1.821(c) or (e) or computer possible of paper or fraction thereof. |   |                        |              |
| Total Sheets E  | xtra Sheets                          |  | additional 50 or fraction up to a whole number)  | RATE                                    |                        |              |
| <sup>-</sup> 52 <sub>- 100</sub> =  | <b>-48</b> /50 =                     |  |  | x \$250                                 | \$ 0.00                |              |
| _   | _                                    | •  | ch fee, examination fee, or the ge (37 CFR 1.492(h)).  | oath or declaration                     | \$ 0.00                |              |
| CLAIMS  | NUMB                                 | ER FILED   | NUMBER EXTRA   | RATE                                    | \$                     |              |
| Total claims  | 40                                   | - 20 =   | 20   | x \$ 50                                 | \$ 1,000.00            |              |
| Independent claims  | 9                                    | - 3 =  | 6  | × \$200                                 | \$ 1,200.00            |              |
| MULTIPLE DEPEN  | DENT CLAIM(S                         | s) (if applicable)                                   |  | + \$360                                 | \$ 0.00                |              |
|   |                                      |  | TOTAL OF ABOVE   | CALCULATIONS =                          | \$ 3,100.00            |              |
| Applicant claim   | s small entity s                     | tatus. See 37 CF                                     | R 1.27. Fees above are reduc   | ced by ½.                               |                        |              |
|   |                                      |  |  | SUBTOTAL =                              | \$ 3,100.00            |              |
| Processing fee of \$ claimed priority date  |                                      |  | translation later than 30 mon  | ths from the earliest<br>+              | \$                     | 0.00         |
|   |                                      |  | TOTAL  | NATIONAL FEE =                          | \$                     | 3,100.00     |
| _   |                                      | •  | R 1.21(h)). The assignment mu<br>\$40.00 per property  | st be accompanied +                     | \$                     | 0.00         |
|   |                                      |  | TOTAL F  | EES ENCLOSED =                          | \$                     | 3,100.00     |
|   | _ ,                                  |  |  | - · · · · · · · · · · · · · · · · · · · | Amount to be refunded: | \$           |
|   |                                      |  |  |   | Amount to be charged   | \$           |

## IAP5 Rec'd PCT/PTO 25 AUG 2006

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| a. X  | $\overline{X}$ A check in the amount of \$3,100.00 to cover the above fees is enclosed.  |                                   |
|---|--|-----------------------------------|
| b. 🗌  | Please charge my Deposit Account No in the amount of \$ to cover the A duplicate copy of this sheet is enclosed.   | e above fees.                     |
| c. X  | The Commissioner is hereby authorized to charge any additional fees which may be required, or cre Account No. 20-0090 . A duplicate copy of this sheet is enclosed.  | dit any overpayment to Deposit    |
| ď. 🔲  | Fees are to be charged to a credit card. WARNING: Information on this form may become public. C be included on this form. Provide credit card information and authorization on PTO-2038.   | redit card information should not |
|   | TE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 C<br>I granted to restore the International Application to pending status.   | FR 1.137(a) or (b)) must be filed |
| Gary J<br>Taroll<br>1300 J<br>Suite<br>Clevel | ND ALL CORRESPONDENCE TO:  ary J. PITZER  arolli, Sundheim, Covell & Tummino, LLP  00 East Ninth Street  ite 1700  eveland, OH 44114  nited States of America  SIGNATURE  SIGNATURE  31, 21  SIGNATURE  SIGNATURE  SIGNATURE  SIGNATURE  ANAME  14, 17, 17, 17, 17, 17, 17, 17, 17, 17, 17 | JMBER                             |
| •   |  |                                   |
|   |  |                                   |
|   |  |                                   |

## TRANSMITTAL LETTER TO THE

International Application No. PCT/GB2004/000804

|  | UNITED STATES RECEI   |  | Attorney                               | Docket No.                             | MC1-8324                                |
|--|---|--|--|--|---|
| 1.   | Certification under 37 CFR 1  | .10 (if applicable)                                  |  | •                                      | 10/590742                               |
|  | TOCC 7 0 0 2 2 0 7 1 1  | $\frac{1}{2}$  |  |  |   |
| ;<br>;   | EQ667882207US   |  | as anot acolo                          |  |   |
| l l he   | Express Mail Label Nur<br>reby certify that the application/corresp | ondence attached here                                | to is being depos                      | sited with the Unite                   | d States Postal Service "Express        |
| Mai  | I Post Office to Addressee" service und                             | der 37 CFR 1.10 on the                               | date indicated a                       | bove and is addres                     | ssed to Assistant Commissioner          |
| ror  | Patents, Washington, D.C. 20231.                                    |  |  |  |   |
|  | Sie OP  | So   | 1/150                                  | ». / /                                 | Princle                                 |
|  | Sisa d'huigle Lisa L. Pringle                                       |  |  |  |   |
|  | Signature of person mailing cor                                     | respondence  | l lypea or                             | printed name of pe                     | erson mailing correspondence            |
| II.  |   | ation  |  | <u> </u>                               |   |
|  | TITLE DATA STORAGE AN   | ND PROCESSING S                                      | SYSTEMS                                |  | Earliest priority date (Day/Month/Year) |
|  |   |  |  |  | 27 February 2004                        |
|  | SCREENING DISCLOSURE II   | NFORMATION: In order                                 | to assist in scre                      | eening the accomp                      | panying international                   |
| !  | application for purposes of dete other purposes, the following in   | ermining whether a licen<br>iformation is supplied.( | se for foreign tra<br>Note: check as i | nsmittai should ar<br>nany boxes as ap | ply):                                   |
| i  | A.   The invention disclosed was not                                | ot made in the United St                             | ates.                                  |  |   |
| `  | B.  There is no prior U.S. applicat                                 | ion relating to this invent                          | tion.                                  |  |   |
|  | C. The following prior U.S. applic                                  |  |  | elated to the inver                    | ntion disclosed in the                  |
| ,  | attached international applica                                      | ition (NOTE: priority to the                         | nese applications                      | s may or may not b                     | be claimed on form PCT/RO/101           |
|  | (Request) and this listing does                                     | s not constitute a ciaim te                          | or priority.)                          | filed on                               |   |
|  | application no. application no.                                     |  |  | filed on                               |   |
|  | application no.   |  |  | filed on .                             | · ·                                     |
| D. The present international application contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C. above. The additional subject matter is found on pages and DOES NOT ALTER MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 USC 181 and 37 CFR 5.1. See 37 CFR 5.15. |   |  |  |  |   |
| 11)  | ☐ A Response to an Invita   | tion from the RO/L                                   | JS. The followi                        | ng document(s) is                      | (are) enclosed:                         |
|  | A. A Request for an Extension of                                    | •  | e.                                     |  |   |
|  | B. A power of Attorney (general of C. Replacement pages:            | or Regular)  |  |  |   |
|  | O. [_] replacement pages.   |  |  |  |   |
|  | pages   | of the request (PCT) of the description              | /RO/101) pag<br>pag                    |  | of the figures of the abstract          |
|  | pages   | of the claims  |  |  |   |
| D. Submission of Priority Documents  |   |  |  |  |   |
|  | Priority Document   |  | Priority                               | Document                               |   |
| E. Fees as specified on attached Fee Calculation sheet form PCT/RO/101 annex   |   |  |  |  |   |
|  |   |  |  |  |   |
| IV. A Request for Rectification under PCT 91 A Petition A Sequence Listing Diskette  |   |  |  |  |   |
| V. Dother (please specify)   |   |  |  |  |   |
| V. Cuter (please specify)  |   |  |  |  |   |
|  |   |  |  |  |   |
|  | The person signing this form is the:                                | Applicant  |  |  | Sary J. Pitzer                          |
|  |   | HoroulAment (D                                       | No.                                    | Type                                   | Name of Signor                          |
|  | U.S. Department of  | Attorney/Agent (Reg. <b>39,334</b>                   | . 110.)                                | $\leq 1.$                              |   |
|  | Commerce: Patent and  | Common Represent                                     | tative                                 | Am                                     | Signature                               |